

ANNEXURE-I

Format of holding of specified securities

Name of Listed Entity: **UNIPHOS ENTERPRISES LIMITED**
Scrip Code : **500429** Name of Scrip : **UNIPHOS** Class of Security : **Equity**
Share Holding Pattern Filed under : **Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)**
a. If under 31 (1)(b) then indicate the report for quarter ending : **31.03.2016**
b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	Yes*	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-



Table 1 - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholder (III)	No of fully paid up equity shares held (IV)	No of partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. shares calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)		No of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of dilute share capital) (XI)=(VII)+(X) As a % of (A+B+C3)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights Class Equity eg. V	Total			No (a)	As a % of total shares held (b)	No (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter Group	7	50207195	0	0	50207195	72.19	0	50207195	72.19	0	0.00	0	0.00	0	50207195
(B)	Public	13416	19338654	0	0	19338654	27.81	0	19338654	27.81	0	0.00	0	0.00	0	18654177
(C)	Non Promoter- Non Public															
(C1)	Shares underlying DRs															
(C2)	Shares held by Employee Trusts															
	Total	13423	69545849	0	0	69545849	100.00	0	69545849	100.00	0	0.00	0	0.00	0	68861372



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total shares (calculated as per SCRR, 1957) (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								Class Equity	Class Y	Total			No. (a)	As a % of total shares held (b)		
(1) Indian																
(a) Individuals/H.U.F																
i) Shilpa P Sagar	AAALPS9282P	1	329123			329123	0.47	329123	0	0.47	0	0	0	0	0.00	329123
ii) Jyotsna J Bhatt	ABWJP6570H	1	45500			45500	0.07	45500	0	0.07	0	0	0	0	0.00	45500
iii) Jyotsna Jyotindra Bhatt	ABWJP6570H	1	2100			2100	0.03	2100	0	0.03	0	0	0	0	0.00	2100
iv) Varun Jaidev Shroff	BJAPS5937A	1	1300			1300	0.02	1300	0	0.02	0	0	0	0	0.00	1300
v) Tanvi Jaidev Shroff	BJAPS5795L	1	500			500	0.01	500	0	0.01	0	0	0	0	0.00	500
(b) Central/State Governments																
(c) Financial Institutions/Banks																
(d) Any Other (Specify)																
a) Bodies Corporate																
i) Nerka Chemicals Pvt Limited	AAACN9337Q	1	49819612			49819612	71.64	49819612	0	71.64	0	0	0	0	0.00	49819612
ii) R Shroff Consultants Pvt Ltd	AABCR9751P	1	9060			9060	0.01	9060	0	0.01	0	0	0	0	0.00	9060
Sub-Total (A)(1)		7	50207195	0	0	50207195	72.19	50207195	0	72.19	0	0	0	0	0.00	50207195
(2) Foreign																
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)																
(b) Government																
(c) Institutions																
(d) Foreign Portfolio Investor																
(e) Any Other (Specify)																
Sub-Total (A)(2)																
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	50207195	0	0	50207195	72.19	50207195	0	72.19	0	0	0	0	0	50207195

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in dematerialized suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholder (1)	PAN (B)	No. of shareholder (III)	No of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Total nos. shares held (V)+(IV)+(VI)	Shareholding as a % of total no. of shares held (V)+(IV)+(VI) as a % of SCRR, 1957 As a % of (A+B+C1) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting Rights	No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
							Class	No of Voting Rights	Total						
(1) Institutions															
(a) Mutual Fund/UTI		3	2260	0	2260	0.00	2260	0	2260	0.00	0	0.00			2260
(b) Venture Capital Funds															
(c) Alternate Investment Funds															
(d) Foreign Venture Capital Investors															
(e) Foreign Portfolio Investors		3	782850	0	782850	1.13	782850	0	782850	1.13	0	0.00			782850
i) Arsal Holdings	AAKCA6796Q	1	939602	0	939602	1.35	939602	0	939602	1.35	0	0.00			939602
ii) Acacia Partners LP	AA1FA7272K	1	733254	0	733254	1.05	733254	0	733254	1.05	0	0.00			733254
(f) Financial Institutions Banks		6	1200	0	1200	0.00	1200	0	1200	0.00	0	0.00			1200
(g) Insurance Companies		1	57700	0	57700	0.08	57700	0	57700	0.08	0	0.00			57700
Life Insurance Corp of India	AAAAC10882H	1	898756	0	898756	1.29	898756	0	898756	1.29	0	0.00			898756
(h) Provident Funds/Pension Funds															
i) Foreign Institutional Investors		7	6750	0	6750	0.01	6750	0	6750	0.01	0	0.00			4900
ii) Ares Diversified	AAHCA2144B	1	3171572	0	3171572	4.56	3171572	0	3171572	4.56	0	0.00			3171572
iii) EOS Multi Strategy Fund Ltd	AAADC0686N	1	933938	0	933938	1.34	933938	0	933938	1.34	0	0.00			933938
(j) Foreign Banks		1	1800	0	1800	0.00	1800	0	1800	0.00	0	0.00			1800
Sub- Total (B)(1)		26	7529682	0	7529682	10.83	7529682	0	7529682	10.83	0	0.00			7527832
(2) Central Government/State Government(s)/President of India															
Sub- Total (B)(2)															0



Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (1)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (V)+(VI)	Shareholding as a % of total no. shares (calculate as per SCRR, 1957) As a % of (A+B+C3) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (As a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights (Class eg. X)	Class eg. Y	Total		No.	As a % of total shares held			No. (Not applicable) e)
(1) Custodian/DR Holder																
(a) Name of DR Holder (if available)																
(b) abc.....																
(c) efg.....																
(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																
(a) Name (abc.....)																
Total Non-Promoter - Non Public Shareholding (C)=(C1)+(C2)																

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

